

## ASSOCIATION AMONG SALIVARY FLOW RATE, CARIES RISK AND NUTRITIONAL STATUS IN PRE-SCHOOLERS

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### ABSTRACT

Modéer T. et al. (2011) claim that there is association between decreased salivary flow rate and caries in obese adolescents. The aim of this study was to determine the association among nutritional status, salivary flow rate and caries risk in preschoolers. The study comprised 60 children aged 3 to 6 years attending kindergartens in areas immediately adjacent to Buenos Aires City, Argentina. Body weight and height of the children were determined. Body mass index was calculated and the population was classified anthropometrically according to the WHO 2007 (WHO Anthro. Program). Caries risk was determined. Saliva was collected in sterile graduated wide-mouth containers, without stimulation and without food restrictions. Salivary flow rate (SFR) was determined.

Statistical analysis was performed using Pearson's test. It was found that 56.7% (IC95%: 37.7-74.0) of anthropometrically adequate children (Ad) and 37.0% (IC95%: 20.1-57.5) of overweight and obese children (OW/Ob) had caries. The odds ratio for caries (OR=3.78; IC95%: 1.2-11.8, p=0.02) was almost 4 times higher in adequate children than in the others. SFR was  $0.534 \pm 0.318$  ml/min in Ad and  $0.439 \pm 0.234$  ml/min in OW/Ob. Pearson's test showed no correlation between SFR and nutritional status ( $r=0.004592$ ,  $p=0.5977$ ). Although the presence of caries was lower in overweight and obese children, no correlation was found between nutritional status and salivary flow rate.

**Key words:** nutritional status; saliva; dental caries, child.

## RELACIÓN ENTRE LA TASA DE FLUJO SALIVAL, RIESGO DE CARIES Y ESTADO NUTRICIONAL EN NIÑOS PRE-ESCOLARES

### RESUMEN

Modéer T. et al. (2011) afirman que en las poblaciones de adolescentes obesos existe asociación entre reducción de tasa de flujo salival y caries. El objetivo del presente estudio fue determinar la asociación entre el estado nutricional, la tasa de flujo salival y el riesgo de caries en preescolares. Se estudiaron 60 niños de 3 a 6 años de edad, que concurrían a Jardines de Infantes del conurbano de la ciudad de Buenos Aires, Argentina. En este grupo de niños se midió el peso corporal y la talla. Se calculó el índice de masa corporal y se categorizó antropométricamente a la población según OMS 2007. (Programa WHO Anthro). Se determinó el riesgo de caries. La saliva se recolectó en frascos estériles, graduados, de boca ancha sin estimulación y sin restricciones alimentarias. Se determinó la tasa de flujo salival (TFS). El análisis estadístico

se realizó con el Test de Pearson. Presentaron caries el 56.7% (IC95%: 37.7-74.0) de los niños adecuados (Ad) antropométricamente y el 37.0% (IC95%: 20.1-57.5) de los niños con sobrepeso y obesidad (SP/O). El odds ratio para caries (OR=3.78; IC95%: 1.2-11.8, p=0.02) fue casi 4 veces mayor en los niños Ad, comparados con los SP/O. La TFS fue  $0.534 \pm 0.318$  ml/min en Ad y  $0.439 \pm 0.234$  ml/min en SP/O. El test de Pearson no evidenció correlación entre la TFS y el estado nutricional ( $r=0.004592$ ,  $p=0.5977$ ). A pesar que los niños con sobrepeso y obesidad tienen menor presencia de caries no se encontró correlación entre el estado nutricional y tasa de flujo salival.

**Palabras Clave:** Estado nutricional; Saliva; Caries Dental; Preescolares.

### INTRODUCTION

Saliva, modulated by the ecosystem, plays a critical role in the homeostasis of the oral cavity<sup>1</sup>. Its functions include lubricating the food bolus; protecting against virus, bacteria and fungi; repairing oral

mucosa; buffer capacity and remineralizing teeth. It has buffer and neutralizing capacity against acids produced by microorganisms or in the diet, enabling a relatively constant pH to be maintained in bacterial plaque and the oral cavity. It constantly

provides calcium and phosphates, which are needed for remineralization processes. Salivary buffer capacity depends on bicarbonate concentration and its remineralization capacity depends on calcium and phosphate concentrations. Both are correlated to the saliva flow rate. Reduced salivary flow rate is a risk factor for caries. Salivary secretion rate, which varies according to the type, strength and duration of the stimulus, is the main factor affecting its composition. Some metabolic disorders, such as those found in obesity, may affect saliva synthesis, composition and secretion<sup>2,3</sup>. Quantitative and/or qualitative alterations in salivary secretion may lead to the development of infections (candidiasis), functional alterations of masticatory or digestive processes, or infections of the digestive system (halitosis). Whole unstimulated saliva reflects basal salivary flow and is present in the oral cavity for long periods of time (about 14 hours), and is thus the main factor responsible for protective properties, while whole stimulated saliva is present after eating (physiological stimulation), and thus only acts for about two hours<sup>4</sup>.

During the last quarter of the twentieth century, obesity increased worldwide in all age groups, a situation which has become more visible over the past two decades, with little or no distinction among gender, ethnicity or social condition<sup>5-8</sup>. Children are not exempt from this problem, and child obesity has increased dramatically in recent decades, becoming a Public Health issue<sup>9,10</sup>. In Argentina, a study including anthropometric data collected from 2007 to 2012 on a population of 120,000 2- to 18-year-olds, found that 14.5% of preschoolers, 19% of schoolchildren and 17.4% of adolescents were overweight, while obesity affected 9.8% of preschoolers, 17.7% of schoolchildren and 9.9% of adolescents<sup>11</sup>. These data agree with the latest survey on nutrition and health, conducted in 2007, which showed high prevalence of obesity, which affects 10.5% of children of preschool age<sup>12</sup>.

The association between diseases caused by plaque biofilm and nutritional status has been studied by different authors, with contradictory results<sup>13-16</sup>.

Adipocytes secrete various hormones and cytokines which contribute to obesity. Leptin acts on hypothalamus receptors to suppress food intake and increase energy consumption. A reduction in the sensitivity of the receptors of this hormone can induce the beginning of obesity and affect salivary

secretion. This led to obesity being linked to hiposalivation and related pathologies such as dental caries<sup>3</sup>. Overweight or obese children have alterations in the concentration of phosphates, sialic acid, proteins and peroxidase activity, leading to conditions which are favorable to the development of caries<sup>2</sup>.

Obesity, in particular abdominal obesity, usually accompanied by metabolic syndrome, is linked to chronic inflammation and therefore to higher risk of diseases such as type 2 diabetes, atherosclerosis, respiratory disorders and periodontal disease<sup>14-16</sup>. Being socially at risk plays a critical part in the etiopathology of obesity and caries because it facilitates synergic interaction among risk factors. Children growing up in urban settings adopt behavior traits that facilitate the progression of the disease. This explains why urbanization and modernization rates are strongly linked to the predominance of obesity<sup>17</sup>. In addition, dental caries and obesity both have multifactorial etiology and are associated to eating habits. The prevalence of caries and obesity is a growing challenge for Public Health authorities. Many studies on these pathologies have produced controversial results, possibly due to the lack of standardized criteria for diagnosis, and the different age groups and designs used in the different papers.

The aim of this study was to determine association among nutritional status, saliva flow rate and caries risk in preschoolers.

## MATERIALS AND METHODS

This was a descriptive, correlational study, performed at government-run kindergartens in areas immediately adjacent to Buenos Aires city. The protocol was approved by the Ethics Committee at the School of Dentistry at Buenos Aires University (11/05/2011- n° 07).

### *Phase 1: Preliminary activities*

Parents or guardians received information on the study, following current ethical standards. They provided authorization by signing informed consent for children to be included in the study and information about the children's medical and dental history. Then they attended a meeting during which information was provided about frequent diseases of the oral cavity and advice on oral hygiene and *ad hoc* dietary recommendations.

### **Phase 2: Sampling**

Exclusion criteria were:

- a) Children with systemic diseases,
- b) Children having received radiation, or
- c) Children taking any medication at the time of sampling which would modify the saliva flow rate.

All authorized children were evaluated, diagnosed included in a preventive care program, regardless of the exclusion criteria. The purposive sample included 60 children (30 female and 30 male), aged 3 to 6 years old (mean  $\pm$  SD= 58.8  $\pm$  10.7 months).

### **Phase 3: Collecting anthropometric clinical data**

Anthropometric data were taken using the measuring techniques suggested by the Growth and Development Committee of the Argentine Pediatric Association<sup>18</sup>, by a single professional, at the same time, and after the children had had breakfast and emptied their bladder.

Data were collected and processed as follows:

- Body weight recorded on a CAM brand scale to the nearest  $\pm$  100 g, wearing light clothes;
- Height measured with a CAM brand stadiometer to the nearest  $\pm$  1 mm.
- Body mass index (BMI) calculated as weight (kg)/height<sup>2</sup> (m<sup>2</sup>).
- Anthropometric classification of the children in the sample using the BMI Z scores corresponding to the WHO standards, by applying the WHO software AnthroPlus<sup>19,20</sup>. The following zBMI cutoff points were used: underweight  $\leq$  -1 SD, adequate -1.0 to +1.0 SD, overweight  $\geq$  +1.0 y < +2.0 SD, obesity  $\geq$  +2.0 SD<sup>21</sup>.

### **Phase 3: Saliva collection and analysis**

Unstimulated saliva without food restriction was collected in wide-mouthed, graduated, sterile jars. Spontaneous salivary flow rate was determined (SFR)<sup>22</sup>.

### **Phase 4: Determining history of dental caries and cariogenic risk factors**

Clinical examinations were performed by a single professional following validated criteria. The following were calculated:

- dmft index<sup>23</sup>
- dental plaque biofilm index<sup>24</sup>
- diet history

### **Phase 5: Statistical analysis**

The data were analyzed using the following statistical analyses:

- Distribution and frequency of study variables (salivary flow rate, presence of caries and nutritional status) and/or percentages in relation to total cases and their respective 95% confidence intervals, using Fisher's exact probability test for significance, with  $p < 0,005$  considered statistically significant difference.
- We expressed SFR as mean  $\pm$  standard deviation (SD), and analyzed normality assumptions (Kolmogorov & Smirnov test) and homoscedasticity (Bartlett's test). The results were considered significant at a 5% probability level.
- Mann-Whitney's test was applied for comparisons between sexes.
- Pearson's test was used to determine the correlation between SFR and nutritional status and age.

We used the software GraphPad Prism, version 5.03 (GraphPad Software, Inc., San Diego, CA, USA).

## **RESULTS**

### **Results of studies on anthropometric data**

Fifty percent of the children in the study had adequate or normal weight, 23.3% were overweight and 21.7% were obese. Because there were very few underweight children (5%) according to zBMI, they were excluded from the sample. Fig. 1 shows the distribution of the sample according to nutritional status evaluated through the Z score of Body Mass Index (BMI).

### **Results of studies on teeth**

#### *Results for association between anthropometric data and dental status*

56.7% (CI 95%: 37.7-74.0) of anthropomorphically adequate children and 37.0% (CI 95%: 20.1-57.5) of overweight and obese children had caries. The odds of having caries (Odds Ratio= 3.78; CI 95%: 1.2– 11.8,  $p=0.02$ ) was nearly 4 times higher in anthropomorphically adequate children (Fig. 2).

#### *Results for association between SFR and anthropometric data*

SFR was 0.534  $\pm$  0.318 ml/min for anthropometrically adequate children and 0.439  $\pm$  0.234 ml/min for overweight and obese children, with no statistically significant difference. Pearson's test showed no correlation between SFR and nutritional status ( $r= 0.004592$ ,  $p= 0.5977$ ) (Fig. 3).

*Results for association between SFR and gender*

When salivary flow rate was analyzed with relation to gender in the study population, girls were found to have higher SFR than boys (mean  $\pm$  SD= 0.57 $\pm$  0.29 vs. 0.40 $\pm$ 0.27) (Fig. 4).

*Results for association among SFR, gender and anthropometric data*

When the population was analyzed according to salivary flow rate, gender and anthropometric data, overweight and obese girls were found to have higher salivary flow rate than boys (Table 1).

*Results for association between SFR and caries*

Both adequate and obese children with caries have higher SFR, although it is only statistically significant in those with adequate weight (0.59  $\pm$  0.30 ml/min vs. 0.37  $\pm$  0.20 ml/min, mean and SD), p=0.0017 (Table 2).

**DISCUSSION**

There are multiple factors involved in the etiology of dental caries, so the risk analysis should include factors involved in its development, including lifestyle<sup>25</sup>.

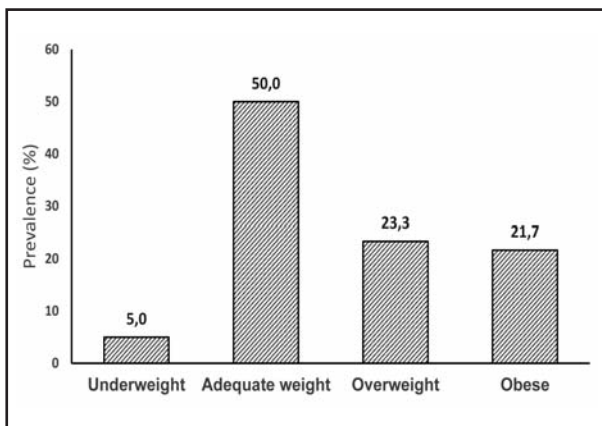


Fig. 1: Distribution of the population according to nutritional status.

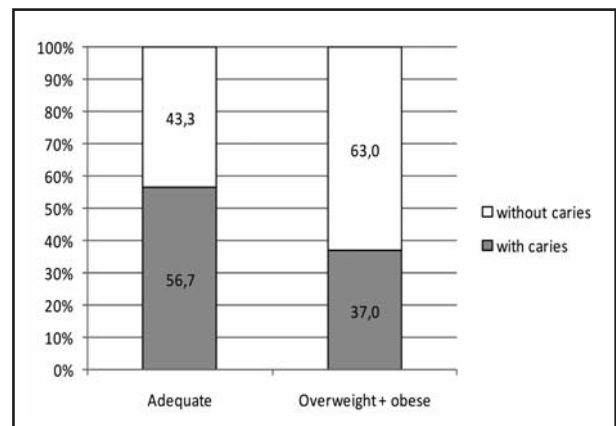


Fig. 2: Presence of caries according to nutritional status.

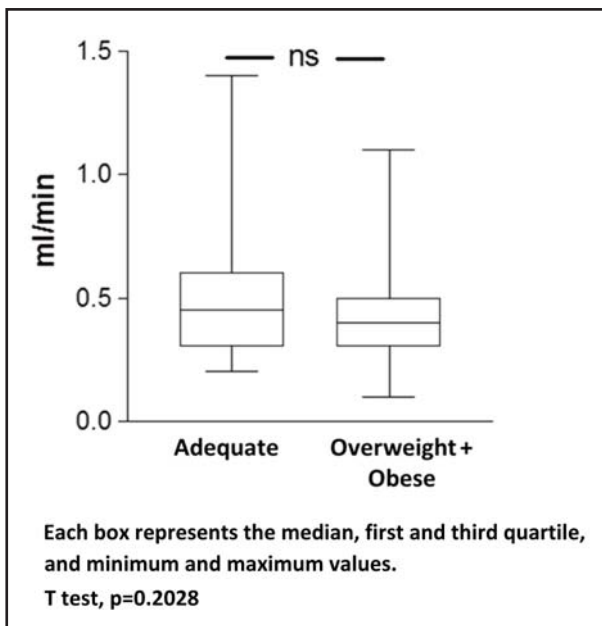


Fig. 3: Salivary flow according to nutritional status.

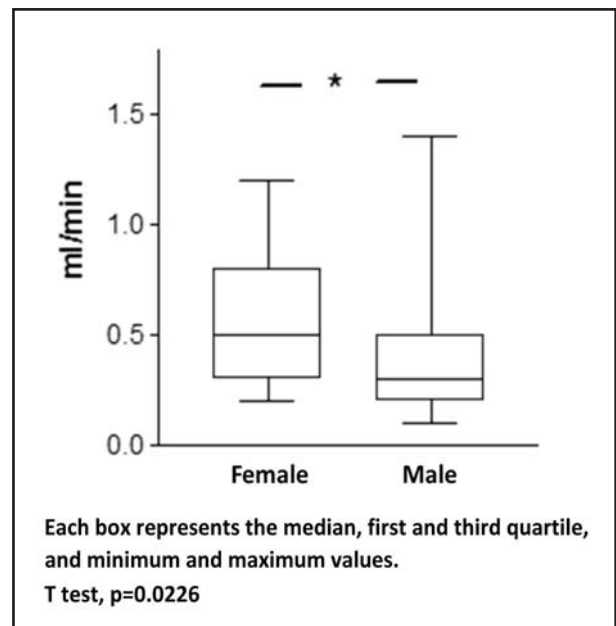


Fig. 4: Salivary flow rate according to sex.

Weight gain is the result of a positive energy balance, with intake exceeding expenditure<sup>26</sup> due to the intake of food with high caloric density<sup>27</sup> and a low level of physical activity<sup>28</sup>. In the “obesogenic environment” of society today, eating habits and sedentary behavior are the main components influencing obesity. Diet quality as a cause of obesity has been the object of many studies in adults, but few in children. The Bogalusa Heart Study found positive association between the prevalence of obesity and the consumption of beverages and salty snacks but not the consumption of desserts and candies<sup>29</sup>.

Al-Zahrani et al.<sup>15</sup> suggest that obesity in childhood and youth predisposes to oral disease. Other authors found contradictory results (Table 3): some found significant association between obesity and dental caries<sup>30</sup>, while others found that obese children had higher risk of erosion but not necessarily of caries, compared to children of normal weight<sup>31</sup>. Alm et al.<sup>32</sup> found that overweight and obese adolescents had a higher number of proximal caries than those of normal weight, and this finding was associated to higher consumption of snacks since childhood. These findings do not agree with reports by Yen et al.<sup>33</sup>.

**Table 1: Salivary flow rate according to gender and nutritional status.**

	Adequate	OW + Ob	p
Female	0.60 ± 0.30	0.51 ± 0.25	ns
Male	0.45 ± 0.29	0.33 ± 0.14	ns
p	ns	p=0.04	

**Table 2: Association among salivary flow rate, nutritional status and caries.**

	Adequate	OW + Ob	p
With caries	0.62±0.32	0.51±0.26	ns
Without caries	0.40±0.24	0.36±0.17	ns
p	* p=0.0446	ns	

**Table 3: Summary of literature found about the association between obesity and caries and salivary flow rate.**

First author (Ref.)	Study design	Year	Nº of subjects	Age range (yr)	Obesity and caries	Obesity and salivary flow rate
Bailleul-Forestier I <sup>30</sup>	prospective	2007	82	12-18	Positive, p=0.01	
Tong HJ <sup>31</sup>	prospective	2014	64	7-15	ns	ns
Alm A <sup>32</sup>	retrospective	2008	402	15	Positive, =0.014	
Yen CE <sup>33</sup>	prospective	2013	329	preschool	ns	
Hayden C <sup>34</sup>	meta-analysis	2013		children	Positive, p=0.0479 only in industrialized countries	
Gupta P <sup>35</sup>	prospective	2014	100	12	ns	
Kopycka-Kedzierawski D. T <sup>36</sup>	retrospective	2008	17748	2-18	ns in 2-5 y group Negative, p=0.03 in 6-18y group	
Fadel HT <sup>37</sup>	prospective	2014	55	13-18	Positive, p<0.05	Negative, p<0.05
Powers PS <sup>38</sup>	Prospective	1982	23	Adults		ns
Flink H <sup>39</sup>	Prospective	2008	1427	20-69		Negative. Hyposalivation is prevalent in younger adults, associated with high BMI
Modéer T <sup>40</sup>	prospective	2010	130	10-18	Positive,	Negative, p<0.001
Aspen VA <sup>44</sup>	prospective	2012	57	9-12	p= 0.002	Positive. Non obese did not become habituated to food cues

Meta-analysis of the association between obesity and dental caries in populations of recently industrialized countries vs. industrialized countries found a significant association between the two conditions in the latter<sup>34</sup>.

Gupta et al.<sup>35</sup> found no significant association between presence of caries and body mass index in 12-year-old children, but did find a strong correlation with oral hygiene. Kopycka-Kedzierawski et al.<sup>36</sup> found in a population of 6- to 11-year-old children that obese children were less likely to have caries, in agreement with the findings in our study.

Fadel<sup>37</sup> found that obese adolescents had lower stimulated saliva secretion, higher concentration of secretory IgA ( $p < 0.001$ ), and greater presence of dental caries and gingivitis ( $p < 0.01$ ), with no significant difference in snack consumption and bacterial plaque index.

Powers et al.<sup>38</sup> found no difference in salivation patterns between obese and non-obese adults. In a cohort of obese adult patients, Flink<sup>39</sup> has shown that there is an association between reduction in stimulated salivary flow rate and increased body mass index. This observation does not agree with the findings of our study, where saliva was not stimulated for collection.

Modéer et al.<sup>40</sup> conducted a cross-sectional study and found lower stimulated salivary flow rate in obese adolescents. This may be attributed to the fact that salivary secretion neuro-endocrinal regulation may be affected due to the altered ratio in abdominal obesity due to the modulation of the

immune system, via cytokines, exercised by the central nervous system<sup>41</sup>.

Fenoll<sup>42</sup> suggests that salivary flow is lower in females because they may have smaller salivary glands. However, this does not agree with the findings in our study, where salivary flow was significantly higher in females.

Johansson<sup>43</sup> found that stimulated salivary flow declined with malnutrition, while unstimulated saliva did not change. It could be inferred that nutritional status has an influence on changes in salivary flow and thus on oral ecology. In the presence of food, the body's first reaction corresponds to the cephalic phase, which includes increased heart rate, body temperature, gastric activity and salivary flow. Faced with repeated food cues, the individual becomes habituated and learns to ignore them. It has been observed that this behavior is much slower in obese persons, i.e., they continue to have an increased salivary response in the presence of food<sup>44</sup>, with a higher rate of salivary flow. It would be worth conducting studies on food consumption to identify what variables might condition these results.

## CONCLUSIONS

- 1) There was no correlation between overweight/obesity and salivary flow rate.
- 2) Obese children had fewer teeth affected by dental caries.

Both diseases – caries and obesity/overweight – have common causes which require integrated management by multidisciplinary teams promoting healthy diets.

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