DENTAL BLEACHING WITH OZONE: EFFECTS ON COLOR AND ENAMEL MICROHARDNESS

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ABSTRACT
The aim of this in vitro study was to evaluate the effects of dental bleaching with ozone (O₃) on color change and enamel microhardness. Enamel blocks (3 x 3 x 3mm) were randomly distributed for treatments (n=10). Color change (ΔE) and Knoop microhardness of the enamel blocks were evaluated before and after the following treatments: C – deionized water (control); HP – 37.5% hydrogen peroxide (Pola Office+/ SDI); PLA – placebo gel; O₃ – ozone; and O₂ – oxygen. Four 8-minute applications were used for HP and PLA, and one 19-minute application for O₃ and O₂. One-way ANOVA revealed that ΔE was not significantly influenced by the treatment (p = 0.112). For the treatments with HP, PLA, O₂, and O₃, ΔE was greater than 3.3. The paired t test showed significant decrease in microhardness after treatments (p < 0.001) but no significant difference between treatments (ANOVA; p = 0.313). Dental bleaching treatments with O₃, HP, O₂ and PLA induced enamel color changes that may be clinically discernible, although enamel microhardness decreased.

Key words: Hydrogen peroxide, ozone, tooth bleaching.

INTRODUCTION
With the aim of improving smile esthetics, in-office bleaching treatment or at-home techniques using individual trays have been developed to treat teeth with color changes.¹⁴ In addition to hydrogen peroxide and carbamide peroxide - the most commonly used bleaching agents - dentists have used ozone, which may or may not be used together with hydrogen peroxide.¹⁵ Ozone is a naturally occurring gas composed of three oxygen atoms (O₃). It is a highly oxidizing agent, able to partake in diverse chemical reactions with organic and inorganic substances.³ Its clinical use is via a mixture of oxygen and pure ozone, at a rate of 0.05 - 5% oxygen and 95 - 99.95% oxygen. Due to its instability, the ozone molecule must be prepared immediately prior to clinical use, as long-term storage is impossible.¹⁵ Ozone may be used safely in situations where diffusion is an important factor, such as in dental hard tissues, acting on organic substances of the tooth tissues.¹¹ The effectiveness of short-term exposure to ozone has been shown in different studies. Tessier et al.³ used ozone for bleaching stains caused by...
tetracycline, finding it effective at three to five minutes at a concentration of 2100ppm ± 5% and flow rate of 615cc/min. Other studies have used ozone application times of 40° or 60 seconds with the same concentration and flow rate. Elhamid and Mosallam reported the use of ozone at a concentration of 175µg/mL to 1mL incorporated into a gel, for a period of 30 minutes. Zanjani et al. applied ozone for four minutes, although no information was provided regarding concentration and flow.

Exposure to ozone has been found to reduce enamel stains by 28% after three minutes and by 56% after five minutes. Other studies have compared the bleaching efficacy of ozone to other techniques. Elhamid and Mosallam reported that an ozonized gel provided better dental bleaching than carbamide peroxide. In contrast, Manton et al. applied ozone for 40 seconds every four hours over a layer of carbamide peroxide solution for 52 hours of bleaching treatment, finding no significant increase in bleaching effectiveness, and less bleaching effect when it was used prior to bleaching with peroxide. Zanjani et al. demonstrated that hydrogen peroxide produced more color change than ozone applied for four minutes.

Regarding the use of peroxides, due to the long period of close contact between the bleaching agent and the tooth and the generally inherent acidic characteristics of the product components, porosity and surface erosion may be observed, as well as a decrease in surface microhardness of the enamel and dentin. Using ozone for bleaching purposes may produce a lesser side-effect on microhardness, as no residual bleaching agent remains in contact with the tooth.

Thus, the aim of this in vitro study was to evaluate the effects of ozone bleaching treatment in terms of color change and microhardness of the enamel surface. The null hypotheses were: a) ozone does not promote color changes on teeth; b) ozone does not cause changes to enamel microhardness.

MATERIALS AND METHODS
Specimens were 100 blocks of human dental enamel, with 50 blocks assigned to color assessment and 50 to microhardness. For both experiments, the 50 blocks were randomly distributed and subject to five different procedures (n=10). The study factors were:

A) Five treatments: control (C) – deionized water; ozone (O₂), oxygen (O₃), 37.5% hydrogen peroxide (HP) and a placebo gel without 37.5% hydrogen peroxide (PLA).
B) Two evaluation times: before treatment (baseline) and after treatment.

The response variables were color change (ΔE) evaluated using the CIELab system, and microhardness measured quantitatively in Knoop hardness numbers (KHN) after staining and application of the treatment agents.

Obtaining and preparing the enamel blocks
Following approval from the Research Ethics Committee (number 838.553), twenty-five human third molars with clinically sound crowns and without cracks or stains were used. The remains of the periodontal ligament were removed and the teeth stored in 0.1% thymol solution for one to six months.

The dental crowns were cut using a doubled-sided diamond disc (Microdont Micro Usinagem de Precisão Ltda, São Paulo, SP, Brazil) and low-speed handpiece (Kavo do Brasil, Joinville, SC, Brazil). Enamel blocks 3 x 3 x 3 mm were then cut starting from the palatal and buccal aspects of each crown, under deionized water cooling to prevent enamel cracks. An average four enamel blocks were obtained from each crown and stored in relative humidity at a temperature of 37° ± 1°C throughout the experiment. They were randomly distributed among groups to evaluate color change and microhardness.

Preparation of the enamel blocks for staining and color analysis
In order to create stains prior to treatment, fifty enamel blocks were coated with wax (DentBras Ind. Com. Imp. Exp. Prod. Odont. Ltda, Pirassununga, SP, Brazil) to limit the staining to surface enamel only and immersed individually in 2 ml Methyl Orange II solution (0.15 mM) for 15 days, with the solution being changed after 7 days.

The 50 blocks were randomly assigned to the experimental groups (n=10) according to treatment: control (C) – deionized water; ozone (O₂), oxygen (O₃), 37.5% hydrogen peroxide (HP) and a placebo gel without the 37.5% hydrogen peroxide (PLA).

Color was analyzed following Jaime et al. The blocks were photographed before and after...
bleaching procedures using a digital camera (Nikon D70, Nikon Factory, Ayuthaya, Thailand) and a macro lens with a built-in circular flash (Medical-NIKKOR, Tokyo, Japan). The digital camera was standardized to obtain photographs at a release speed of 1/125, diaphragm aperture F16, sensor sensitivity ISO 200, manual function with activated flash. The blocks were placed on a flat surface against a black background, with their outermost surface as parallel as possible to the horizontal plane. Images were assessed using image editing software (Photoshop CS6, Adobe Systems Ireland Ltd., San Jose, CA, USA) to generate numerical values, according to the CIELab system (Commission Internationale de l’Eclairage” - CIE). The CIELAB system was used with the parameters: L* indicating lightness, ranging from 0 (black) to 100 (white); a* representing the saturation of the red (+) and green axes (-); and yellow (+) and blue (-) represented by the b* axis.

For the microhardness experiments, 50 enamel blocks were embedded in polyester resin (Maxi Rubber, Diadema, SP, Brazil). The enamel surface was planed using a pneumatic polisher (Ecomet 250, Buehler Ltd., Lake Bluff, IL, USA) with an attached head (Buehler Automet 250, Buehler Ltd., Lake Bluff, IL, USA) containing 600 and 1200 grit aluminum oxide sandpaper (Arotec S/A Ind. e Com., Cotia, SP, Brazil). Final polishing was performed with a synthetic velvet polishing cloth (Supra, Arotec S/A Ind. e Com., Cotia, SP, Brazil) moistened with 3 µm diamond paste for metallography (Arotec S/A Ind. e Com., Cotia, SP, Brazil). Enamel microhardness analysis was performed using a digital durometer (Pantec HVS 1000, Panambra, São Paulo, SP, Brazil) and Knoop-type penetrator, with a static load of 25 g for five seconds. Three microhardness indentations were performed at randomly selected sites on each enamel surface at each time (before and after treatment), with each indentation separated by a distance of 200 µm.

To avoid differences in the initial mean values (baseline) of enamel microhardness between groups, test specimens that presented similar microhardness values were selected. Fifty test specimens were randomly allocated to each experimental group (n=10): control (C) – deionized water; ozone (O3), oxygen (O2), 37.5% hydrogen peroxide (HP) and a placebo gel without 37.5% hydrogen peroxide (PLA).

**Experimental treatments**

Table 1 provides the specifications of the agents used. The pH of the agents (deionized water, HP and PLA) was measured with a pH-meter (W3B, Bel Equimientos Analíticos Ltda, Piracicaba, SP, Brazil), using the mean of three values. The pH values were measured shortly after handling HP and PLA at baseline and eight minutes. For the control group (C), 0.01 ml deionized water was applied for 8 minutes, after which it was:

### Table 1: Treatment agents, composition and manufacturer.

<table>
<thead>
<tr>
<th>Treatment agent/ Commercial name/ Lot number</th>
<th>Composition</th>
<th>Manufacturer (city, state, country)</th>
<th>Mode of use</th>
<th>pH value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distilled water (C)</td>
<td>H2O</td>
<td>Campinas, SP, Brazil</td>
<td>Four 8-minute applications</td>
<td>Baseline: 6.73, 8 minutes: 7.29</td>
</tr>
<tr>
<td>Ozone (O3)</td>
<td>O3 + O2</td>
<td>Ozone &amp; Life Ind. Com. and Sis. Ltda, São José dos Campos, SP, Brazil</td>
<td>One 19-minute application</td>
<td>6.53, 6.96</td>
</tr>
<tr>
<td>Oxygen (O2)</td>
<td>O2</td>
<td>São José dos Campos, SP, Brazil</td>
<td>One 19-minute application</td>
<td>6.34, 6.76</td>
</tr>
<tr>
<td>Hydrogen peroxide (HP)/ Pola Office+/ P1307011; P1310121</td>
<td>37.5% hydrogen peroxide; water; glycol polyethylene; blue coloring; potassium nitrate</td>
<td>SDI Baywater, Victoria, Australia</td>
<td>Four 8-minute applications</td>
<td>6.76, 6.34</td>
</tr>
<tr>
<td>Placebo (PLA)</td>
<td>Carbopol gel without coloring and carbopol gel with blue coloring</td>
<td>Farmó Manipulação e Cosméticos, Aracaju/SE, Brazil</td>
<td>Four 8-minute applications</td>
<td>Baseline: 6.53, 8 minutes: 6.96</td>
</tr>
</tbody>
</table>

removed from the surface with gauze, and the procedure repeated a further three times (four applications altogether). Then the enamel surface was washed with deionized water for 10 seconds and dried with gauze.

For the O₃ group, an ozone gas generator was used (Ozone & Life Ind. Com. e Sis., Ltda, O&L 1.5M, São José dos Campos, SP, Brazil) with an output of 80 W, connected to an oxygen cylinder via a flow control valve. In order to apply the gas directly to the enamel blocks, a 1L volume rectangular stainless steel box with a side opening for entrance and exit was created, so that the entire volume of the box could be filled with gas. The enamel blocks were lined up in the box, allowing simultaneous exposure of the enamel to the gas. The box was then sealed with adhesive tape and the ozonization performed in a fume cupboard. Dose was adjusted in terms of ozone concentration, in ppm, and application time in minutes. An ozone concentration of 4mg/L was used, at an oxygen flow of ¼ l/min, as per the manufacturing guidelines. This concentration and flow-rate were based on the premise that 1mg/L corresponds to 667 ppm, and since an approximate value of 2100 ppm was desired, the closest value (4 mg/L), as per the table provided by the manufacturer was used. Total application time was 19 minutes, with the first four minutes corresponding to total filling time of the 1L box with ozone, plus 15 minutes of concentrated application. This protocol was adapted from Tessier et al. and Zárate et al. in order to maximize the effectiveness of the ozone treatment.

For the O₂ group, an oxygen cylinder with flow control valve was used. The gas was applied using the same stainless steel box. Dose was adjusted in terms of oxygen concentration applied, in ppm, and exposure time in minutes, with the oxygen flow rate used being ¼ l/min (approximately 2100 ppm). Total application time was 19 minutes, with the first four minutes corresponding to total filling time of the 1L box with oxygen, plus 15 minutes of concentrated application. This protocol was adapted from Tessier et al. and Zárate et al. in order to maximize the effectiveness of the ozone treatment.

For the HP group, a bleaching gel containing 37.5% hydrogen peroxide (Pola Office Plus, SDI Limited, Bayswater, Victoria, Australia) was used. A thin layer (0.01 ml) of gel was applied to each surface and left for eight minutes. The gel was then removed from the surface enamel and reapplied as described above for group C.

For the PLA group, the gel was similar to the commercial product (HP) but lacking hydrogen peroxide. A thin layer (0.01 ml) of gel was applied to the enamel surface and left for eight minutes. The gel was removed from the surface with gauze and the procedure repeated as described above for the C and HP groups.

Following treatment application, the enamel blocks were stored in a humid environment for 12 hours before color and microhardness evaluation. Prior to statistical analysis, the data for color and microhardness were evaluated for the assumptions of normality (Shapiro-Wilk test) and homogeneity of variance (Levene test), both of which were confirmed. The initial color (parameters L*, a* and b*) and microhardness values were compared to the final values using the paired t test. Color changes (ΔE) were obtained via the CIELab system using the formulas: ΔE* = [(ΔL*)² + (Δa*)² + (Δb*)²]¹/², where ΔE is color change; ΔL = Lfinal – Linicial; Δa = afinal – ainicial; and Δb = bfinal – binicial. An ΔE value of ≥ 3.3 is considered discernible clinically.

To ascertain the presence of a significant difference between the treatments applied to the enamel blocks in terms of ΔL, Δa, Δb, ΔE and AKHN, one-way analysis of variance (ANOVA) was used. The Tukey test was applied for multiple comparisons. Confirmation of correlation between the enamel color and microhardness values following different treatments was performed using the Pearson test. All statistical tests adopted a significance level of 5%, using SPSS 20 (SPSS Inc., Chicago, IL, USA).

RESULTS
One-way analysis of variance revealed no significant difference for ΔE values for the treatments applied to the enamel blocks (p = 0.112) (Table 2). However, with the exception of the control group (immersed in deionized water), all the other groups had ΔE values higher than 3.3. The paired t test was applied to compare initial and final Knoop microhardness values, independently of treatment. Knoop microhardness decreased significantly compared to baseline (p < 0.001) for all groups, but there was significant difference in Δ KHN values among groups (p = 0.313) (Table 2). Pearson’s test did not reveal significant correlation between ΔE values and Knoop microhardness changes (ΔKHN) (p = 0.415; r² = -0.118) (Fig. 1).
DISCUSSION

Treatment effectiveness and safety are among the primary factors to consider when selecting a technique or bleaching agent. The effectiveness of a bleaching agent is evaluated by objective methods based on the $L^*$, $a^*$, $b^*$ (CIELab) system, which is appropriate for scientific research and provides definitions of parameters that can be evaluated quantitatively. $\Delta E$ describes color change by including these three dimensions of color, and values above 3.3 are considered clinically discernible color changes proving the effectiveness of bleaching procedures. With the exception of the control group, all treatments in this study produced $\Delta E$ values above 3.3, confirming that they were effective in promoting color change.

The teeth used to explore color change were stained prior to treatment in order to enhance the results of the treatment agents, following methods validated in previous studies. The greatest mean $\Delta E$ value was observed for ozone, although there was no significant difference with the other treatments. Other studies have also demonstrated the effectiveness of bleaching following application of ozone. Ozone is an unstable gas that rapidly releases nascent oxygen molecules to form oxygen. It is a highly oxidizing agent, capable of participating in diverse chemical reactions with both organic and inorganic substances. The ozone molecule and, in particular, the radical hydroxyl (OH$^-$), a non-selective oxidant formed by ozone decomposition, play an important role in the oxidation process. Ozone decomposes in aqueous solution, which is the starting point in the formation of the peroxide radical (HO$_2^-$) and, subsequently, hydroxyl radicals (OH$^-$). These reactions occur simultaneously, however, chromophore groups may be broken by ozone, forming smaller molecules, resulting in a tooth bleaching effect by one of three of the following fundamental mechanisms: a) bonding mechanism, which occurs via the addition of ozone by a double bond to form ozonide in the presence of non-aqueous solvents yet, once water is present, ozone is hydrolyzed to other products via cleavage of the double bond that would have occurred; b) substitution mechanism, where one atom or functional group is replaced by another one; c) cleavage mechanism, in which a carbon-carbon bond is separated to produce composite organic fragments.

Nevertheless, ozone might be incapable of such intense penetration when compared to other peroxide-based bleaching agents, since a greater number of applications are required to achieve satisfactory results. Manton et al. analyzed the use of ozone prior to and simultaneously with bleaching with carbamide peroxide and were unable to verify an increase in bleaching effectiveness with the combined treatment. However, they used an application time of only 40 seconds, confirming that there is variability among ozone application methods and therefore divergent

<table>
<thead>
<tr>
<th>Group</th>
<th>$\Delta E$</th>
<th>$\Delta KHN$</th>
</tr>
</thead>
<tbody>
<tr>
<td>C</td>
<td>3 (2)</td>
<td>-15 (110)</td>
</tr>
<tr>
<td>O$_3$</td>
<td>6 (3)</td>
<td>-83 (84)</td>
</tr>
<tr>
<td>O$_2$</td>
<td>5 (3)</td>
<td>-31 (44)</td>
</tr>
<tr>
<td>HP</td>
<td>4 (3)</td>
<td>-67 (72)</td>
</tr>
<tr>
<td>PLA</td>
<td>4 (2)</td>
<td>-70 (87)</td>
</tr>
</tbody>
</table>

ANOVA p = 0.112
ANOVA p = 0.313

$\Delta$: Final – Initial value; C: control (distilled water); O$_3$: ozone; O$_2$: oxygen; HP: 37.5% hydrogen peroxide; PLA: placebo.
results would be expected. Tessier et al.8 demonstrated the possibility of effective bleaching with ozone on even more severely stained teeth, such as in situations of staining secondary to tetracycline, using a short exposure time (3 to 5 min). Longer bleaching sessions may increase bleaching efficacy, since chromophore saturation of the dental tissues occurs gradually.76 In our study, ΔE values greater than 3.3 were also observed for the placebo and oxygen groups. Although we did not expect this color change for the oxygen group, it may be explained by oxygen being a potential oxidant, which is the basis of bleaching treatments. In accordance with Abdelaziz et al.34 although the oxidation potential of oxygen is five times lower than that of ozone, it may explain the bleaching capacity of the two gases used in this study. Additionally, ozone is 10 times more soluble than oxygen13,37 and since the samples were submitted to ozone and oxygen treatments in a dry environment, ozone may not have had the diffusion expected on the substrate and, consequently, may not have produced a difference in enamel color change.

Other studies have also shown that hydrogen peroxide bleaching leads to noticeable color change.1,2,4,15,32-33 Its mechanism of action is based in its ability to generate active oxygen and free radicals such as perhydroxyl, which are highly reactive, extremely electrophilic and unstable, degrading organic molecules to acquire stability and creating other radicals. The radicals may easily react with most unsaturated bonds, resulting in mono- or di-hydroxylation of the bonds. These agents react with highly coupled organic molecules, which can break the coupled electrons and change the energy absorption of the molecule. Hydrogen ions (H+) are produced in the process of breaking down hydrogen peroxide, which may produce a relatively acidic environment and may affect tooth surface and subsurface integrity.28 Some bleaching products are made with low pH values in order to guarantee the stability of hydrogen peroxide, an important factor in the bleaching reaction process.14 However, consecutive bleaching sessions at high concentrations of hydrogen peroxide may lead to micromorphological and chemical changes to the enamel structure.3,13,36 Therefore, evaluation of surface microhardness is frequently used to assess the effects of bleaching agents in terms of changes to the mineral content that may occur to the tooth.18-21,23 This study found that regardless of treatment, microhardness decreased significantly, with no difference between treatments. This decrease in surface microhardness resulting from bleaching is a concern, with other studies corroborating these results when using hydrogen peroxide at high concentrations.3,10-21,23,32,36 In contrast, other studies have not observed significant changes in enamel microhardness.4,35 a difference which may be explained by the methods used, exposure time, composition, pH and concentration of bleaching agent, as well as by differences in the evaluation time intervals of the treatment. It should be noted that although in our study the action time for the bleaching gel was similar (four 8-minute applications) to the study by Borges et al.4 (three 10-minute applications), there was a difference in the composition of the bleaching agent.

We used a bleaching gel and placebo agent containing the thickening agent cabopol, which has acidic properties,40 whereas Borges et al.4 used a bleaching agent containing an acrylic thickener. In addition, in the studies by Borges et al.4 and Lia Mondelli et al.23 the specimens were stored in artificial saliva, providing the potential for remineralization from the solution to the substrate, whereas we used deionized water, which is sub-saturated in terms of the mineral content of the enamel and may have led to decreased hardness.41 Tahmassebi et al.24 found no decrease in enamel microhardness using ozone, suggesting that it does not alter the mineral content of the enamel, and Duggal et al.42 observed no reduction in microhardness when using ozone with or without a fluoride product. However, our study revealed a decrease in microhardness for the group treated with ozone, probably due to the different methods used, with longer application time (19 minutes compared to a 60 seconds),24,42 and storage of the test specimens in a humid environment with deionized water, which may have reduced enamel surface hardness,41 whereas in other studies, the enamel was immersed in artificial23 or natural saliva, in which the demineralization process may be interrupted or reversed by dislocation of ionic changes on the tooth surface in favor of remineralization.42 It is well known that dental enamel becomes demineralized at a critical pH value (lower that 5.5).
Bleaching agents with low pH levels may lead to significant enamel erosion, which, interestingly, is also seen when pH is above the critical level. Our study used a bleaching agent with mean pH 6.81. Bleaching agents with high concentrations of hydrogen peroxide, even at neutral pH values, are capable of demineralizing dental enamel while producing effective bleaching. Our study also found a decrease in microhardness in relation to baseline values for the control, placebo and oxygen groups. This was to be expected for the control group, where the tooth surface remained in contact with deionized water, since this solution is subsaturated with minerals in comparison to the enamel. For the placebo group, the results are in agreement with the findings of Basting et al., in which the thickening agent in the bleaching gel and placebo was carbopol, showing a continuing demineralization of the enamel and dentin with application time, even at neutral pH, causing significant changes to dental microhardness. For the oxygen group, this decrease in microhardness may be explained by the similar mechanism of action as ozone, and although oxygen is five times less oxidant than ozone, it still has high oxidation potential. Bocci et al. described how ozone can promptly react with the substrate, while oxygen generally requires a catalyst to start its reaction. The remineralization produced by saliva and/or its substitutes containing calcium and phosphate allow maintenance of the baseline microhardness values, which might have led to increased enamel microhardness for all groups, had artificial saliva been used to store the test specimens in this study. Moreover, although the post-bleaching period was not studied, an increase in microhardness might be expected as a result of the constant contact with saliva and/or re-mineralizing agents used in the treatments with high concentration hydrogen peroxide. Pearson’s test did not reveal a significant correlation between color change (ΔE) and Knoop microhardness (ΔKHN). Therefore, the bleaching agent might act on the chromophores in the tooth structure to promote bleaching effectiveness, as well as altering the mineral content in an isolated manner. The limitations of this study include short exposure time and evaluation to confirm stability of the bleaching treatments and lack of an artificial saliva immersion step, which may have the potential to remineralize the enamel. Further studies are needed to evaluate the possible structural changes to the enamel following the application of ozone for dental bleaching. In conclusion, the data obtained indicate that treatment with ozone, hydrogen peroxide, oxygen and placebo cause color change to the dental enamel that may be discernible clinically and decrease in dental enamel microhardness, regardless of bleaching treatment type.

REFERENCES