

## IMMUNOSTIMULATORY MONOCLONAL ANTIBODIES FOR HEPATOCELLULAR CARCINOMA THERAPY. TRENDS AND PERSPECTIVES

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**Abstract** Hepatocellular carcinoma (HCC) is the second cause of cancer-related death in the world and is the main cause of death in cirrhotic patients. Unfortunately, the incidence of HCC has grown significantly in the last decade. Curative treatments such as surgery, liver transplantation or percutaneous ablation can only be applied in less than 30% of cases. The multikinase inhibitor sorafenib is the first line therapy for advanced HCC. Regorafenib is the standard of care for second-line patients. However, novel and more specific potent therapeutic approaches for advanced HCC are still needed. The liver constitutes a unique immunological microenvironment, although anti-tumor immunity seems to be feasible with the use of checkpoint inhibitors such as nivolumab. Efficacy may be further increased by combining checkpoint inhibitors or by applying loco-regional treatments. The success of immune checkpoint blockade has renewed interest in immunotherapy in HCC.

**Key words:** hepatocellular carcinoma, immunostimulatory monoclonal antibodies, cytotoxic T-lymphocyte antigen 4 (CTLA-4), programmed cell death 1 ligand (PD1-L), tumor-infiltrating lymphocytes

**Resumen** *Anticuerpos monoclonales inmunoestimulantes para el tratamiento del hepatocarcinoma. Avances y perspectivas.* El hepatocarcinoma (HCC) es la segunda causa de muerte relacionada con el cáncer en el mundo y es la principal causa de muerte en pacientes cirróticos. Desafortunadamente, la incidencia de HCC ha crecido significativamente en la última década. Los tratamientos curativos como la cirugía, el trasplante de hígado o la ablación solo pueden aplicarse en menos del 30% de los casos. El sorafenib es el tratamiento de primera línea para el HCC avanzado, mientras que el regorafenib se reserva como segunda línea. Sin embargo, todavía son necesarios nuevos enfoques terapéuticos potentes y más específicos para el HCC avanzado. El hígado constituye un microambiente inmunológico único, aunque la inmunidad antitumoral parece ser factible mediante el uso de inhibidores de punto de control como nivolumab. La eficacia puede aumentarse adicionalmente combinando inhibidores de puntos de control inmunitario o aplicando tratamientos loco-regionales. En este sentido, el éxito del uso de anticuerpos monoclonales, que bloquean el control inmunitario, ha renovado el interés en la inmunoterapia para el HCC.

**Palabras clave:** hepatocarcinoma, anticuerpos inmunoestimuladores, antígeno-4 asociado al linfocito T citotóxico (CTLA-4), ligando de la molécula de muerte celular programada 1 (PD1-L), linfocitos infiltrantes tumorales

Hepatocellular carcinoma (HCC) is the second cause of cancer-related death worldwide and its incidence is steadily increasing<sup>1</sup>. HCC primarily develops from cirrhosis, and most patients are infected with hepatitis C or B virus<sup>2</sup>. Non-viral HCC etiologies, such as diabetes mellitus and non-alcoholic steatohepatitis (NASH), are growing in frequency particularly in Western European patients<sup>3</sup>. Unfortunately, more than 750 000 cases are diagnosed each year and the majority of them are at advanced stages

when curative treatments are very difficult to apply<sup>1,4</sup>. For advanced HCC, the first line systemic therapy option is the multikinase inhibitor sorafenib, which offers an increase in overall survival from 7.9 months to 10.7 months when compared to placebo<sup>5</sup>. Although a large majority of patients progress on sorafenib, treatment with regorafenib in second line, a similar molecule in terms of mechanisms of antitumoral activity and side effects, improved overall survival by 2.8 months vs. placebo<sup>6</sup>. Therefore, there is an urgent need for more effective options for patients with advanced HCC.

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### Liver immunotolerance

It is well known that cancer is a complex and multifactorial disease; tumor cells have the ability to release a number

of factors that can assist their capacity to grow and metastasize<sup>7</sup>. One of the most important characteristics of tumors is their capacity to evade immune surveillance<sup>8,9</sup>. Preclinical and clinical data demonstrated that the intratumoral microenvironment is highly immunosuppressive and there is a poor effector T cell response in advanced HCC<sup>10</sup>. Independently of the causative disease, HCC can be considered as an inflammatory tumor composed by different types of immune cells that tilt the balance toward a state of immunotolerance<sup>8</sup>. This immunosuppressive microenvironment is characterized, at least in part, by the presence of CD4+ FoxP3+ T cells (Tregs), type 2 macrophages, myeloid-derived suppressor cells, tumor associated fibroblasts, Kupffer cells, just to mention a few<sup>11</sup>. It has been previously reported that tumor-infiltrating lymphocytes correlate with improved survival in patients with HCC<sup>12</sup>. Thus, it is reasonable to consider immunotherapy as a rational therapeutic tool for patients with advanced or progressed HCC. The main goal of the majority of immunotherapy approaches is to generate a potent specific cytotoxic T cell response against cancer cells. The list of strategies applied so far include tumor

vaccines, adoptive T cell therapy (including CAR-T cells), immune-gene therapy with oncolytic vectors, dendritic cells-based vaccines and, more recently, the use of immunostimulatory monoclonal antibodies, particularly the immune checkpoint inhibitors<sup>13</sup>. Checkpoint blockade has become a major focus in the immune-based therapy of HCC. The main concept involves inhibition of regulatory cell surface molecules, which normally inhibit T-cell activation.

### Immune checkpoint antibody therapy for HCC

Sangro et al<sup>14</sup> demonstrated in a pilot study that the Cytotoxic T-Lymphocyte Antigen 4 (CTLA-4) inhibitor tremelimumab has promising antitumoral activity in patients with advanced HCC with hepatitis C virus infection. Twenty patients were treated with tremelimumab resulting in 17.6% of partial response and an overall survival of 8.2 months. More recently, a phase 1/2 dose escalation and expansion trial (CheckMate 040) evaluated nivolumab in sorafenib-naïve as well as in sorafenib-experienced HCC. Nivolumab is a fully human (IgG4) monoclonal antibody

TABLE 1.– *Clinical trials using immunostimulatory monoclonal antibodies for advanced hepatocellular carcinoma*

Monoclonal antibody/target	Study design	Results	Trial ID or reference
- Nivolumab/anti-PD-1	Randomized open-label phase III; nivolumab vs. sorafenib	Not available	NCT02576509
- Nivolumab/anti-PD-1	Phase 1/2 dose escalation and expansion trial. Nivolumab in sorafenib-naïve and sorafenib-experienced patients	Objective tumor response of 20%, and stable disease of 45% in the expansion cohort.	NCT01658878 - Ref. 15
- Tremelimumab/anti-CTLA4	Phase II study; HCV infected patients	17.6% of partial response, and an overall survival of 8.2 months	NCT01008358 - Ref. 14
- Pembrolizumab/anti-PD-1	Prospective single arm open label	Not available	NCT02702414
- Pembrolizumab/anti-PD-1	Prospective randomized double-blind phase III; pembrolizumab + best supportive care vs. placebo + best supportive care	Not available	NCT02702401
- Durvalumab/anti-PD-1-L	Phase I multiarm dose expansion study Interventional randomized	0% objective tumor response; 10% grade 3 adverse events.	NCT01693562 - Ref. 19
- Durvalumab/antiPD-1-L + tremelimumab/anti-CTLA4	Phase II study in subjects with unresectable HCC	Not available	NCT02519348
- Tremelimumab + chemoembolization or ablation	Interventional non-randomized Phase I study	Not available	NCT01853618

inhibitor of the programmed death-1 (PD-1) receptor that restores T-cell-mediated antitumor activity<sup>15</sup>. Nivolumab has demonstrated clinical benefit in melanoma, refractory non-small cell lung cancer, advanced renal cell carcinoma, Hodgkin lymphoma, squamous cell carcinoma of the head and neck, and urothelial carcinoma<sup>16</sup>. In the CheckMate 040 study, 262 patients were enrolled and the primary end point was safety and tolerability (escalation phase) and overall response rate (expansion phase). As a result, in sorafenib-experienced patients with or without chronic viral hepatitis, nivolumab demonstrated a long-term survival and durable objective responses; in addition, safety profiles of nivolumab were similar to what has been observed in other tumor types; importantly, hepatic safety events were also manageable. Of note, clinical responses occurred irrespective of PD-L1 expression on cancer cells. A phase 3 study evaluating nivolumab in systemic treatment-naïve patients with advanced HCC is being carried out (CheckMate 459, ClinicalTrials.gov identifier NCT02576509) and will be of paramount importance if the study confirms the results obtained with nivolumab in phase 1/2 trial.

These promising results open the possibility for combinations using checkpoint inhibitors such as tremelimumab with other strategies, for example loco-regional treatments (local ablation or trans-arterial chemoembolization) which release tumor antigens into the bloodstream. Duffy et al reported promising results in terms of overall survival and objective responses; interestingly, patients showing increased intratumoral levels of CD8+ T cells, reached better clinical outcome<sup>17</sup>.

Nivolumab showed similar immune-related adverse events in HCC patients in comparison with other types of tumors; no serious liver dysfunction or autoimmune disease was observed<sup>17</sup>. El-Khoueiry et al reported that in the dose-escalation phase of the CheckMate040 trial only one of 48 patients who received nivolumab at the dose of 3 mg/kg discontinued therapy due to elevated liver transaminases. One patient showed grade 4 toxicity because of increased lipase, and the only grade 3 events were elevated AST levels in 5 patients and elevated ALT levels in 4 more patients<sup>15</sup>. In the dose expansion phase (n = 214) HCC patients were treated with 3 mg/kg; in this phase, 40 (19%) patients presented grade 3/4 treatment-related adverse events<sup>15</sup> (Table 1).

Recently, Rammohan et al reported the first case of metastatic HCC following liver transplantation that responded to the PD-1 inhibitor pembrolizumab, after the failure of sorafenib therapy<sup>18</sup>. The patient showed complete radiological response and remains well with no evidence of tumor recurrence or organ rejection<sup>19</sup>.

In conclusion, the liver constitutes a unique immunological microenvironment, with multiple immunotolerant mechanisms that favor HCC development. Re-establishing anti-tumor immunity seems to be feasible and the

results with checkpoint inhibitors such as nivolumab are promising; however, confirmation of the efficacy of nivolumab in the phase 3 clinical trial is pending. It is also important to identify predictive immunological biomarkers to help hepatologists in therapeutic decision-making. Efficacy may be further increased by combining checkpoint inhibitors (e.g. nivolumab and ipilimumab), though with increased toxicity, or by applying loco-regional treatments.

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LA TAPA

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Acrílico sobre tela. 31.5 × 49.5 cm

Daniela Kantor es diseñadora gráfica (FADU- UBA), historietista, ilustradora y pintora. Desde 2014 es docente en la materia Ilustración, cátedra Roldán, FADU, y da talleres para niños (Filbita 2017, taller de comics librerías Matilda-Tigre, taller de historietas CCK, etc.) Estudió con el maestro Alberto Breccia dibujo de historieta y con Carlos Gorriarena realizó el Curso de color. Asistió al Taller de acuarela y pastel de Carlos Nine y realizó clínicas de pintura con Mariano Sapia y Tulio de Sagastizábal. Además de ilustrar muchos libros para niños y adolescentes (Editoriales Troquel, Abran Cancha, Puerto de Palos, Santillana, etc.), es parte de la revista de historietas *El tripero*, publica en revistas (*Barcelona, Zona de obras, Crisis, suplemento Ñ*, entre otras). Publicó su primera novela gráfica: *Mujer primeriza* (2014). Su proyecto de segundo libro de historietas *Naturalella* obtuvo la primera mención del Premio Nueva Historieta Argentina (2016) y fue publicado en parte en *Dis-tinta*, el compilado de Liniers y Martín Pérez (Ed. Sudamericana, 2016).

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